

Appl. No. 10/661,317  
Atty. Docket No. 9033  
Amdt. dated November 16, 2005  
Reply to Office Action of October 24, 2005  
Customer No. 27752

### AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### Listing of Claims:

1.) (original) A polymer system comprising:

A.) an anionic polymer selected from the group consisting of

(i) anionic polymers comprising;

- a.) a first moiety derived from monoethylenically unsaturated C<sub>3</sub>-C<sub>8</sub> monomers comprising at least one carboxylic acid group, salts of such monomers, and mixtures thereof; and
- b.) a second moiety selected from the group consisting of:

(1) moieties derived from modified unsaturated monomers having the formulae R – Y – L and R – Z wherein:

i.) R is selected from the group consisting of

C(X)H=C(R<sup>1</sup>)- wherein R<sup>1</sup> is H, or C<sub>1</sub>-C<sub>4</sub> alkyl;

and

X is H, CO<sub>2</sub>H, or CO<sub>2</sub>R<sub>2</sub> wherein R<sub>2</sub> is

hydrogen, alkali metals, alkaline earth metals, ammonium and amine bases, saturated C<sub>1</sub>-C<sub>20</sub> alkyl, C<sub>6</sub>-C<sub>12</sub> aryl, and C<sub>7</sub>-C<sub>20</sub> alkylaryl;

ii.) Y is selected from the group consisting of –CH<sub>2</sub>–

, –CO<sub>2</sub>–, –OCO–, and –CON(R<sup>a</sup>)–, –CH<sub>2</sub>OCO–;

wherein R<sup>a</sup> is H or C<sub>1</sub>-C<sub>4</sub> alkyl;

iii.) L is selected from the group consisting of

hydrogen, alkali metals, alkaline earth metals, ammonium and amine bases, saturated C<sub>1</sub>-C<sub>20</sub> alkyl, C<sub>6</sub>-C<sub>12</sub> aryl, and C<sub>7</sub>-C<sub>20</sub> alkylaryl; and

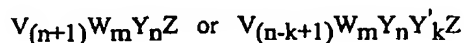
iv.) Z is selected from the group consisting of C<sub>6</sub>-

C<sub>12</sub> aryl and C<sub>7</sub>-C<sub>12</sub> arylalkyl; and

(2) moieties having the formula J–G–D wherein:

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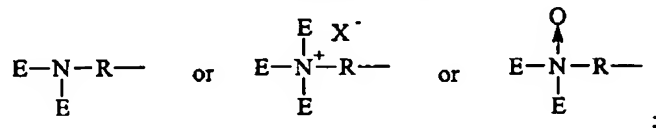
- i.) J is selected from the group consisting of  
 $C(X)H=C(R_1)-$  wherein  $R_1$  is H, or  $C_1-C_4$  alkyl;  
 X is H,  $CO_2H$ , or  $CO_2R_2$  wherein  $R_2$  is  
 hydrogen, alkali metals, alkaline earth metals,  
 ammonium and amine bases, saturated  $C_2-C_{20}$   
 alkyl,  $C_6-C_{12}$  aryl,  $C_7-C_{20}$  alkylaryl;
- ii.) G is selected from the group consisting of  $C_1-C_4$   
 alkyl,  $-O-$ ,  $-CH_2O-$ ,  $-CO_2-$ .
- iii.) D is selected from the group consisting of  
 $-CH_2CH(OH)CH_2O(R^3O)_dR^4$ ;  
 $-CH_2CH[O(R^3O)_dR^4]CH_2OH$ ;  
 $-CH_2CH(OH)CH_2NR^5(R^3O)_dR^4$ ;  
 $-CH_2CH[NR^5(R^3O)_dR^4]CH_2OH$ , and  
 mixtures thereof; wherein  
 $R^3$  is selected from the group consisting of  
 ethylene, 1,2-propylene, 1,3-propylene, 1,2-  
 butylene, 1,4-butylene, and mixtures thereof;  
 $R^4$  is a capping unit selected from the group  
 consisting of H,  $C_1-C_4$  alkyl,  $C_6-C_{12}$  aryl and  
 $C_7-C_{20}$  alkylaryl;  
 $R^5$  is selected from the group consisting of  
 H,  $C_1-C_4$  alkyl  $C_6-C_{12}$  aryl and  $C_7-C_{20}$   
 alkylaryl; and  
 subscript index d is an integer from 1 to 100.
- (ii) graft co-polymers comprising a first moiety derived from  
 monoethylenically unsaturated  $C_3-C_8$  monomers comprising at least  
 one carboxylic acid group, salts of such monomers, and mixtures  
 thereof, said first moieties being grafted onto a  $C_1-C_4$  carbon  
 polyalkylene oxide,  
 and mixtures thereof; and
- B.) a modified polyamine polymer selected from the group consisting of
- (i) modified polyamines having the formulae



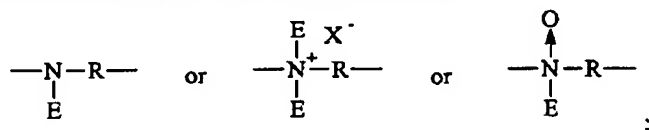
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wherein m is an integer from 0 to about 400; n is an integer from 0 to about 400;  
 k is less than or equal to n wherein

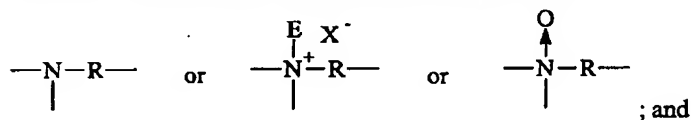
a.) V units are terminal units having the formula:



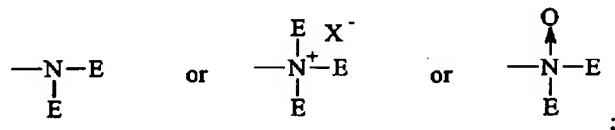
b.) W units are backbone units having the formula:



c.) Y and Y' units are branching units having the formula:



d.) Z units are terminal units having the formula:



wherein:

R units are selected from the group consisting of C<sub>2</sub>-C<sub>12</sub> alkylene, C<sub>4</sub>-C<sub>12</sub> alkenylene, C<sub>3</sub>-C<sub>12</sub> hydroxyalkylene, C<sub>4</sub>-C<sub>12</sub> dihydroxy-alkylene, C<sub>8</sub>-C<sub>12</sub> dialkylarylene, -(R<sup>1</sup>O)<sub>x</sub>R<sup>1</sup>-, -(R<sup>1</sup>O)<sub>x</sub>R<sup>5</sup>(OR<sup>1</sup>)<sub>x</sub>-, -(CH<sub>2</sub>CH(OR<sup>2</sup>)CH<sub>2</sub>O)<sub>z</sub>-(R<sup>1</sup>O)<sub>y</sub>R<sup>1</sup>(OCH<sub>2</sub>CH(OR<sup>2</sup>)CH<sub>2</sub>)<sub>w</sub>-, -C(O)(R<sup>4</sup>)<sub>2</sub>C(O)-, -CH<sub>2</sub>CH(OR<sup>2</sup>)CH<sub>2</sub>-, and mixtures thereof; wherein

R<sup>1</sup> is C<sub>2</sub>-C<sub>3</sub> alkylene and mixtures thereof;

R<sup>2</sup> is hydrogen, -(R<sup>1</sup>O)<sub>x</sub>B, and mixtures thereof;

wherein at least one B is selected from the group consisting of -

(CH<sub>2</sub>)<sub>q</sub>-SO<sub>3</sub>M, -(CH<sub>2</sub>)<sub>p</sub>CO<sub>2</sub>M, -(CH<sub>2</sub>)<sub>q</sub>(CHSO<sub>3</sub>M)CH<sub>2</sub>SO<sub>3</sub>M, -

(CH<sub>2</sub>)<sub>q</sub>-(CHSO<sub>2</sub>M)CH<sub>2</sub>SO<sub>3</sub>M, -(CH<sub>2</sub>)<sub>p</sub>PO<sub>3</sub>M, -PO<sub>3</sub>M, and

mixtures thereof, and any remaining B moieties are selected from

the group consisting of hydrogen, C<sub>1</sub>-C<sub>6</sub> alkyl, -(CH<sub>2</sub>)<sub>q</sub>-SO<sub>3</sub>M, -

(CH<sub>2</sub>)<sub>p</sub>CO<sub>2</sub>M, -(CH<sub>2</sub>)<sub>q</sub>(CHSO<sub>3</sub>M)CH<sub>2</sub>SO<sub>3</sub>M, -(CH<sub>2</sub>)<sub>q</sub>-

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$(\text{CHSO}_2\text{M})\text{CH}_2\text{SO}_3\text{M}$ ,  $-(\text{CH}_2)_p\text{PO}_3\text{M}$ ,  $-\text{PO}_3\text{M}$ , and mixtures thereof;

$\text{R}^4$  is  $\text{C}_1$ - $\text{C}_{12}$  alkylene,  $\text{C}_4$ - $\text{C}_{12}$  alkenylene,  $\text{C}_8$ - $\text{C}_{12}$  arylalkylene,  $\text{C}_6$ - $\text{C}_{10}$  arylene, and mixtures thereof;

$\text{R}^5$  is  $\text{C}_1$ - $\text{C}_{12}$  alkylene,  $\text{C}_3$ - $\text{C}_{12}$  hydroxy-alkylene,  $\text{C}_4$ - $\text{C}_{12}$  dihydroxyalkylene,  $\text{C}_8$ - $\text{C}_{12}$  dialkylarylene,  $-\text{C}(\text{O})-$ ,  $-\text{C}(\text{O})\text{NHR}^6\text{NHC}(\text{O})-$ ,  $-\text{R}^1(\text{OR}^1)-$ ,  $-\text{C}(\text{O})(\text{R}^4)\text{C}(\text{O})-$ ,  $-\text{CH}_2\text{CH}(\text{OH})\text{CH}_2-$ ,  $-\text{CH}_2\text{CH}(\text{OH})\text{CH}_2\text{O}(\text{R}^1\text{O})\text{R}^1-$ ,  $\text{OCH}_2\text{CH}(\text{OH})\text{CH}_2-$ , and mixtures thereof;

$\text{R}^6$  is  $\text{C}_2$ - $\text{C}_{12}$  alkylene or  $\text{C}_6$ - $\text{C}_{12}$  arylene;

X is a water soluble anion; provided at least one backbone nitrogen is quaternized or oxidized

E units are selected from the group consisting of hydrogen,  $\text{C}_1$ - $\text{C}_{22}$  alkyl,  $\text{C}_3$ - $\text{C}_{22}$  alkenyl,  $\text{C}_7$ - $\text{C}_{22}$  arylalkyl,  $\text{C}_2$ - $\text{C}_{22}$  hydroxyalkyl,  $-(\text{CH}_2)_p\text{CO}_2\text{M}$ ,  $-(\text{CH}_2)_q\text{SO}_3\text{M}$ ,  $-\text{CH}(\text{CH}_2\text{CO}_2\text{M})-\text{CO}_2\text{M}$ ,  $-(\text{CH}_2)_p\text{PO}_3\text{M}$ ,  $-(\text{R}^1\text{O})_xB$ ,  $-\text{C}(\text{O})\text{R}^3$ , and mixtures thereof; provided that when any E unit of a nitrogen is a hydrogen, said nitrogen is not also an N-oxide;

$\text{R}^1$  is  $\text{C}_2$ - $\text{C}_3$  alkylene and mixtures thereof;

$\text{R}^3$  is  $\text{C}_1$ - $\text{C}_{18}$  alkyl,  $\text{C}_7$ - $\text{C}_{12}$  arylalkyl,  $\text{C}_7$ - $\text{C}_{12}$  alkyl substituted aryl,  $\text{C}_6$ - $\text{C}_{12}$  aryl, and mixtures thereof;

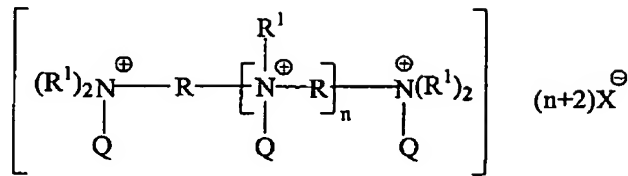
at least one B is selected from the group consisting of  $-(\text{CH}_2)_q-$ ,  $\text{SO}_3\text{M}$ ,  $-(\text{CH}_2)_p\text{CO}_2\text{M}$ ,  $-(\text{CH}_2)_q(\text{CHSO}_3\text{M})\text{CH}_2\text{SO}_3\text{M}$ ,  $-(\text{CH}_2)_q-(\text{CHSO}_2\text{M})\text{CH}_2\text{SO}_3\text{M}$ ,  $-(\text{CH}_2)_p\text{PO}_3\text{M}$ ,  $-\text{PO}_3\text{M}$ , and mixtures thereof, and any remaining B moieties are selected from the group consisting of hydrogen,  $\text{C}_1$ - $\text{C}_6$  alkyl,  $-(\text{CH}_2)_q-\text{SO}_3\text{M}$ ,  $-(\text{CH}_2)_p\text{CO}_2\text{M}$ ,  $-(\text{CH}_2)_q(\text{CHSO}_3\text{M})\text{CH}_2\text{SO}_3\text{M}$ ,  $-(\text{CH}_2)_q-(\text{CHSO}_2\text{M})\text{CH}_2\text{SO}_3\text{M}$ ,  $-(\text{CH}_2)_p\text{PO}_3\text{M}$ ,  $-\text{PO}_3\text{M}$ , and mixtures thereof;

M is hydrogen or a water soluble cation in sufficient amount to satisfy charge balance; and

wherein the values for the following indices are as follows: subscript index p is an integer from 1 to 6; subscript index q is an integer from 0 to 6; subscript index r has the value of 0 or 1; subscript index w has the value 0 or 1; subscript index x is an integer from 1 to 100; subscript index y is an integer from 0 to 100; and subscript index z has the value 0 or 1.

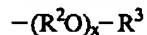
(ii) modified polyamines having formula (I):

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wherein;

- a.) R is C<sub>6</sub>-C<sub>20</sub> linear or branched alkylene, and mixtures thereof;
- b.) X is an anion present in sufficient amount to provide electronic neutrality;
- c.) n and subscript index n have equal values and are integers from 0 to 4;
- d.) R<sup>1</sup> is a capped polyalkyleneoxy unit having formula:

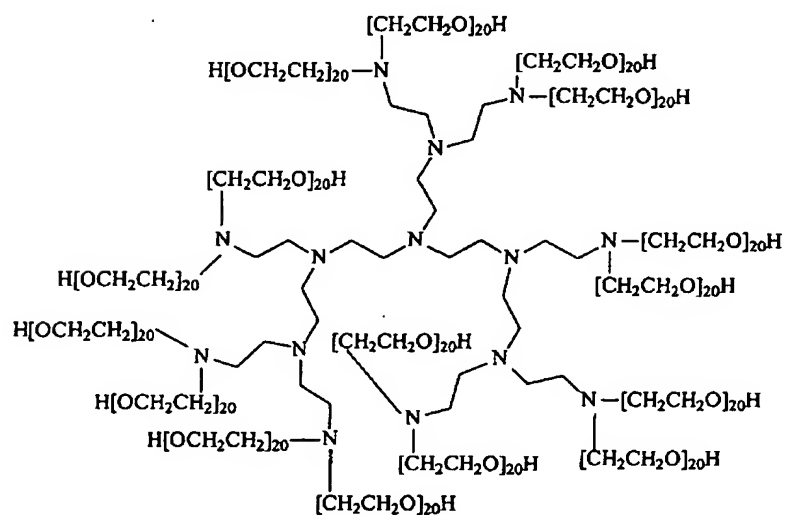
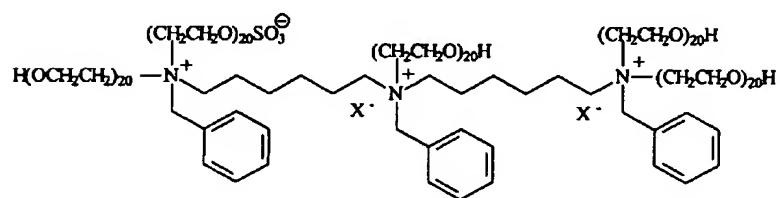
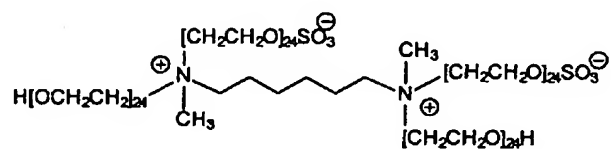


wherein R<sup>2</sup> is C<sub>2</sub>-C<sub>4</sub> linear or branched alkylene, and mixtures thereof; subscript index x has a value from about 1 to about 50; at least one R<sup>3</sup> moiety is an anionic capping unit, with the remaining R<sup>3</sup> moieties being selected from the group comprising hydrogen, C<sub>1</sub>-C<sub>22</sub> alkylenearyl, an anionic capping unit, a neutral capping unit, and mixtures thereof;

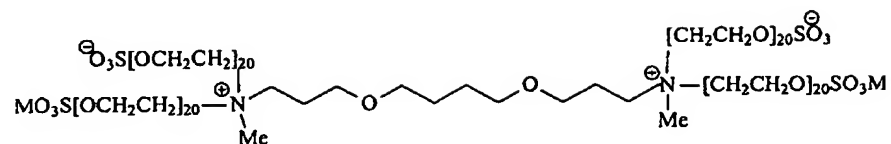
- e.) at least one Q moiety, is a hydrophobic quaternizing unit selected from the group comprising C<sub>7</sub>-C<sub>30</sub> substituted or unsubstituted alkylenearyl, and mixtures thereof, any remaining Q moieties are selected from the group comprising lone pairs of electrons on the unreacted nitrogens, hydrogen, C<sub>1</sub>-C<sub>30</sub> substituted or unsubstituted linear or branched alkyl, or C<sub>3</sub>-C<sub>30</sub> substituted or unsubstituted cycloalkyl, and mixtures thereof;

and mixtures thereof.

2.) (original) The polymer system of Claim 1 wherein said modified polyamine polymer is selected from the group consisting of polymers having the following formulae:

$$\text{H}[\text{OCH}_2\text{CH}_2]_{15}-\text{N}\begin{array}{c} \text{[CH}_2\text{CH}_2\text{O]}_{15}\text{H} \\ | \\ \text{[CH}_2\text{CH}_2\text{O]}_{15}\text{H} \end{array}\text{CH}_2\text{CH}_2\text{N}\begin{array}{c} \text{[CH}_2\text{CH}_2\text{O]}_{15}\text{H} \\ | \\ \text{[CH}_2\text{CH}_2\text{O]}_{15}\text{H} \end{array}\text{CH}_2\text{CH}_2\text{N}\begin{array}{c} \text{[CH}_2\text{CH}_2\text{O]}_{15}\text{H} \\ | \\ \text{[CH}_2\text{CH}_2\text{O]}_{15}\text{H} \end{array}\text{CH}_2\text{CH}_2\text{N}-[\text{CH}_2\text{CH}_2\text{O}]_{15}\text{H}$$


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and mixtures thereof.

3.) (original) A cleaning composition comprising the polymer system of Claim 1

4.) (cancelled)